# 1 Agents and Abstraction

Definition 1.1 (Planning Horizon):

- Static: The world does not change over time.
- Finite Horizon: The agent reasons about a fixed finite number of time steps. (Agent know when it will end)
- Indefinite Horizon: The agent reasons about a finite but not predetermined number of time steps, such as until goal completion. (Agent know it will end, but not sure when)
- Infinite Horizon: The agent plans as if it will continue operating forever. (agent know it will never end)

Definition 1.2 (Representation):

- Explicit States: A state represents one possible configuration of the world.
- Features: Natural descriptors of states. (binary features can represent exponentially many states)
- Individuals and Relations: Use feature for reasoning about individuals and their relationships without necessarily knowing all individuals or when there are infinitely many individuals.

Definition 1.3 (Computational Limits):

- **Perfect Rationality:** The agent always selects the optimal action, which is often not possible in practice.
- **Bounded Rationality:** The agent selects a possibly sub-optimal action given its limited computational resources.

Definition 1.4 (Uncertainty):

- fully observable: agent knows the state of the world from the observation
- partially observable: there can be many state that are possible given an observation.

Definition 1.5 (Uncertain Dynamics):

- **Deterministic:** The outcome of an action is always the same.
- Stochastic: There is uncertainty over the states resulting from executing a given action.

Definition 1.6 (Goals or Complex Preferences):

- Achievement Goals: Goals that an agent aims to achieve, which can be represented as complex logical formulas.
- Maintenance Goals: Goals that an agent seeks to maintain over time.
- **Complex Preferences:** Involves trade-offs between various desiderata, potentially at different times, and may be either ordinal or cardinal (e.g: medical).

Definition 1.7 (Reasoning by Number of Agents):

- **Single Agent Reasoning:** The agent assumes any other agents are part of the environment, focusing on individual goal achievement.
- Adversarial Reasoning: The agent considers another agent acting in opposition to its goals, common in competitive settings.
- Multi-agent Reasoning: The agent strategically reasons about the actions and goals of other agents, which may be cooperative, competitive, or independent.

# 2 Graph Search Algorithm

Space used in the algorithms are basically the size of Frontier.

Algorithm	Frontier	Runtime	Space	halts?
uninformed (no heuristic)				
Depth-First Search	LIFO	$\operatorname{Exp} / O(b^m)$	Linear / $O(bm)$	No
Breadth-First Search	FIFO	$\operatorname{Exp} / O(b^d)$	Exp / $O(b^d)$	Yes
Lowest-Cost-First Search	Lowest cost	Exp	Exp	Yes
Dijkstra's Algorithm <sup>*</sup>	Lowest cost	$O((V+E)\log V)$	$O(V^2)$	
Iterative-Deepening Search*	LIFO in FIFO $^{\rm 1}$	Exp / $O(b^d)$	Linear / $O(bd)$	Yes $^{2}$
<b>informed</b> (has heuristic)				
(Greedy) Best-First Search	Global min heuristic	Exp	Exp	No
Heuristic Depth-First Search	Local min heuristic (LIFO)	Exp / $O(b^m)$	Linear $/ O(bm)$	No
A* Search	Lowest $(\cos t + heuristic)$	Exp	Exp	Yes
b is the branching factor				

m is the maximum depth of the search tree

d is the depth of the shallowest goal node.

<sup>1</sup> a BFS but for every depth limit do a DFS

 $^2$  Guaranteed to terminate at depth d

Algorithm	Completeness	Optimality
uninformed (no heuristic)		
Depth-First Search	No (fails for infinite cycle)	No (not considering all possibilities)
Breadth-First Search	Yes	Yes (if cost is uniform), only guarantee shallowest goal
Lowest-Cost-First Search	Yes	Yes
Dijkstra's Algorithm <sup>*</sup>	Yes	Yes
Iterative-Deepening Search*	Yes (Same as BFS)	No (but guaranteed shallowest goal)
<b>informed</b> (has heuristic)		
(Greedy) Best-First Search	No (fails for infinite cycle)	No (from not considering cost of arc)
Heuristic Depth-First Search	No (fails for infinite cycle)	No (not considering all possibilities)
A* Search	Yes <sup>1</sup>	Yes <sup>1</sup>
1		, 1 1 <b>1</b>

<sup>1</sup> Assuming heuristic is **admissible**, branch factor is **finite**, and arc cost are bounded **above zero** 

If h satisfies the monotone restriction,  $A^*$  with multiple path pruning always finds the shortest path to a goal.

Greedy Best-First Search's frontier is a **priority queue** on heuristic.

Heuristic Depth-First Search is a **DFS** with path added to the **stack** ordered by heuristic.

 $A^*$  Search's frontier is a **priority queue** on (cost + heuristic). No algorithm with the same information can do better.

*Definition* 2.1 (Admissible) An Admissible heuristic never overestimate the cost from any node to the goal. An Admissible search algorithm returns an optimal solution if it exists.

Definition 2.2 (Monotone / Consistent) A heuristic function h satisfies the **monotone restriction** if  $h(m) - h(n) \leq \cos(m, n)$  for every  $\operatorname{arc} < m, n >$ . (The heuristic of a path is always less than or equal to the true cost). Monotonicity is like admissibility but between any two nodes. So, a consistent heuristic is admissible, but a admissible heuristic is not necessarily consistent.

Definition 2.3 (Dominating heuristic) A heuristic function  $h_1$  dominates  $h_2$  if  $\forall n(h_2(n) \ge h_1(n))$  and  $\exists n((h_2(n) > h_1(n)))$ . A\* using  $h_2$  will never expand more nodes than A\* using  $h_1$ .

# 3 Adversarial Search(Minimax)

### • Suitable Type of Problem:

- Competitive two-person, zero-sum games.
- Two players take turns to move and the one winner one loser.

#### • Idea:

- Find **best option** for you on nodes you control (MAX)
- Assumes opponent will take **worst option** for you on their node (MIN)
- Recursively search leaf nodes and percolate optimal value upward

#### • Pruning Methods:

- Alpha-beta Pruning:
  - \* Ignore portions of the search tree without losing optimality
  - \* Useful in practise, does not change worst-case performance (Exp)
- Heuristic Pruning (Early Stopping):
  - \* Heuristics are used to evaluate the potential of non-terminal states.
  - \* This method saves computational resources but may not always yield the optimal solution.

# 4 Higher level strategies

Search	Search Complexity	Difficulty	Reason to Win
Symmetric	$b^n$	Not able to construct backward on dynamically constructed graph	Choose between forward / backward search based on branching factor
Bidirectional	$2b^{\frac{k}{2}} << b^k$	Make sure frontiers meet	Searches forward and backward simultaneously, leading to exponential savings in time and space.
Island-Driven	$mbk^{\frac{k}{m}} << b^k$	identify islands hard to guarantee optimality	Decomposes the problem into $m$ smaller subproblems, each of which is easier to solve.

# 5 Constraint Satisfaction Problems (CSPs)

- Definition:
  - Set of variables, domain for each variable, set of constraints or evaluation function.
  - Solution is an assignment to the variables that satisfies all constraints.
  - Solution is a model of the constraints.
- Problem Types:
  - Satisfiability Problems: Find assignment satisfies the given hard constraints.
  - Optimization Problems: Find assignment optimizes the evaluation function (soft constraints).
- Search Representation:

Assignment Type	Description
Complete Assignment	Node is assignment of value to all variables. Neighbours are created by changing one variable value.
Partial Assignment	Nodes is assignment to the first $k - 1$ variables. Neighbours are formed by assigning a value to the $k^{th}$ variable.

Search spaces can be extreme large, branching factor may be huge

N predefined starting nodes, and only goal is important (path is irrelevent)

### • Dual Representations of Crossword Puzzle:

Type	Nodes	Domains	Constraints
Primal	word positions	letters	intersecting letters are same
Dual	squares	letters	words must fit

# • Example of CSP Setup:

Problem	Variables	Domains	Constraints
Crosswords	letters	a-z	words in dictionary
Crosswords	words	dictionary	letters match
Scheduling	times events resources	times,dates types values	before, after same resource
Party Planning	guests	values	cliques
Ride Sharing	people/trips	locations	cars

#### • Constraints & Solution

- Constraints: Can be N-ary (Over N variables) or Binary (Over 2 variables).
- Solutions:

#### Generate and Test

Exhaustively check all combinations against constraints.

#### Backtracking

Prune large portions of the state space by ordering variables and evaluating constraints. Efficiency depends on **order** of variables.

Find optimal ordering is **as hard** as solving the problem.

Cut off large branches as soon as possible, push failures as high as possible.

#### **Consistency** Techniques

Look for inconsistencies to simplify the problem / Graphical representation

- Constraint Network (CN)
  - Domain constraint:
    - unary constraint of values x on values in a domain,  $\langle X, c(X) \rangle$
  - Domain consistent:
    - A node is **Domain consistent** if no domain value violates any domain constraints.
    - A CN is Domain consistent if all nodes are Domain consistent.
  - **Arc** < X, c(X, Y) > is:

A constraint on X posed by Y.

Arc consistent if for all  $X \in D_X$ , there exist some  $Y \in D_Y$  such that c(X, Y) is satisfied.

- CN is Arc consistent if all arcs are arc consistent.
- set of variables  $\{X_1, X_2, \ldots, X_N\}$  is **path consistent** if all **arcs** and **domains** are **consistent**



- AC-3 (CN) algorithm (Alan Mackworth, 1977)
  - Purpose: Makes a Constraint Network (CN) arc consistent and domain consistent.
  - Procedure:
    - \* Initialize the To-Do Arcs Queue (TDA) with all inconsistent arcs.
    - \* Make all domains domain consistent.
    - \* Put all arcs in TDA.
    - \* Repeat until TDA is empty:
      - Select and remove an arc  $\langle X, c(X, Y) \rangle$  from TDA.
      - Remove all values from the domain of X that: do not have a corresponding value in the domain of Y
        - satisfying the constraint c(X, Y).
      - If any values were removed, for all  $Z \neq Y$ , add back arcs  $\langle Z, c'(Z, X) \rangle$  into TDA. (Add back all constraints posed to other variable by X. As the X value enforced by the constraints / arc we removed is used by some other constraints posted by X to other variables)
  - Termination:
    - \* AC-3 always terminates under one of three conditions:
      - Every domain is empty: no solution.
      - Every domain has a single value: a solution.
      - Some domains have 1+ value: not sure if a solution exists. (further splitting and recursive call needed)
  - Properties:
    - \* Termination is guaranteed.
    - \* Time complexity is  $O(cd^3)$ .<sup>1</sup>
    - \* Consistency of each arc can be checked in  $O(d^2)$  time.
  - Different elimination ordering can result in different size of intermediate constraints.

<sup>&</sup>lt;sup>1</sup>where n is the number of variables, c is the number of binary constraints, and d is the maximum size of any domain

#### - Variable Elimination

#### \* Concept:

- · Variables are eliminated one by one, transferring their constraints to neighbours.
- · A single remaining variable with no values indicates an **inconsistent** network.
- · Different ordering resulting in different sizing intermediate constraints.

#### \* Algorithm:

- · If only one variable remains, return the intersection of the (unary) constraints involving it.
- · Select a variable X.
  - Join the constraints where X appears to form a new constraint R.
  - Project R onto other variables to form  $R_2$ .
  - Place new constraint  $R_2$  between all variables previously connected to X.
  - Remove X from the problem.
  - Recursively solve the simplified problem.
  - Return R joined with the solution from the recursive call.
- \* Finding the optimal elimination ordering is as complex as the CSP itself.



- Local Search: (Back to CSP as Search)
  - Maintain a variable assignment, select neighbours of the current assignment (e.g: improve heuristic value), and stop when a satisfying assignment is found, or return the best assignment found.
  - Aim is to find an assignment with zero unsatisfied constraints (Conflict)
  - Goal is an assignment with **zero conflicts** (e.g. heuristic: # of conflicts)
- Greedy Descent Variants:
  - At every step:

(Select the variable-value pair that **minimize** # of conflicts)

(Select a variable involved in the **most** # of conflicts, then a value **minimize** # of conflicts) (Select a variable involved in **any** conflicts, then a value **minimize** # of conflicts)

(Select a variable **at random**, then a value **minimize** # of conflicts)

(Select a variable and value at random, accept if doesn't increase<sup>2</sup> # of conflicts)

 $<sup>^2 \</sup>mathrm{Sometime}$  accept even increase # of conflicts to escape local minimum

#### • GSAT (Greedy SATisfiability):

- Start with a random assignment of values to all variables n heuristic h(n) = # of unsatisfied constraints
- repeat until heuristic becomes 0 (Solved):

Evaluate neighbours of n (not n, cannot change same variable twice in a row); Let n be the neighbour n' that minimizes the heuristic, even if h(n') > h(n).

- Problems:

stuck at local minimum, cannot pass a **plateau** where h(n) are uninformative. **Ridge** is a local minimum where **n-step look-ahead** might help.

- Randomized GSAT: allow move to a random neighbour or reassign all variable randomly.
- Tabu lists:

Maintain a tabu list of k last assignments to prevent cycling.

Reject assignments exist on tabu lists.<sup>3</sup>

More efficient than a list of complete assignments, but expensive if k is large.

- Stochastic local search is a mix of: (Good solution for when question is a mix of a and b)
  - Greedy descent: Move to a lowest neighbour (GSAT)
  - Random walk: taking some random steps
  - Random restart: reassigning all values randomly
- Simulated Annealing (Variant of Stochastic local research)

(Idea: Move more randomly at the beginning, less randomly as time goes.)

- Pick a random value for a random variable (neighbour):
- Adopt if its an improvement.
- If it's not an improvement, adopt it probabilistically based on temperature T. (High  $\rightarrow$  Low)
- (move from current assignment n to new assignment n' with probability  $e^{-\frac{h(n')-h(n)}{T}})^4$ Terminate when criteria is met.
- Parallel Search:
  - Maintains a population of k individuals (total assignments).
  - Updates each individual in the population at every stage.
  - Reports when any individual is a solution.
  - Operates like k restarts but with k times the minimum steps.
- Beam Search:
  - Similar to parallel search with k individuals, but choosing the k best from all neighbours. (All if there are less than k)
  - Reduces to greedy descent when k = 1.
  - The value of k limits space and parallelism.



<sup>&</sup>lt;sup>3</sup>e.g: k = 1 means reject assignment of the same value to the variable chosen.

<sup>&</sup>lt;sup>4</sup>difference in heuristic value divided by temperature

#### • Stochastic Beam Search:

- A variant of beam search, **probabilistically** choosing k individuals for the next generation. (probability of a neighbour n is chosen is proportional to  $e^{-\frac{h(n)}{T}}$ )
- Maintains diversity among individuals and reflects their fitness (heuristic).
- Operates like asexual reproduction, with mutation allowing fittest individuals to prevail.

### • Genetic Algorithms:

- Like stochastic beam search but combines pairs of individuals to create offspring.
- Fittest individuals are more likely to be chosen for reproduction.
- Crossover and mutation (change some value) to form new solutions.
- Continues until a solution is found.

# • Crossover:

- Given two individuals, each offspring's attributes are randomly chosen from one of the parents.
- The effectiveness depends on the ordering of variables, many variations are possible.

# • Comparing Stochastic Algorithms:

 compare using the summary of statistics like mean runtime , median runtime, or mode runtimes may not be informative.

# • Runtime Distribution:

- Plots runtime or steps against the proportion of runs solved within that time.
- Helps in understanding the performance distribution of stochastic algorithms.

# 6 Inference and Planning

- Procedural
  - Focus on algorithm development, programming, and execution.
  - Emphasizes "how to" knowledge.
  - Languages include C, C++, Java, etc.
- Declarative (AI)
  - Centres on knowledge representation and reasoning.
  - Utilizes databases and knowledge bases (KB).
  - Languages include propositional logic, Prolog, etc.
- Logic
  - Syntax: Defines acceptable sentence structure.
  - Semantics: Explains the **meaning** of sentences and symbols.
  - Proof: **Sequence** of sentences derivable using an inference rule.
- Logical Consequence
  - Statements: Set  $\{X\}$
  - Interpretation: a set of truth assignments to  $\{X\}$ .
  - model of  $\{X\}$ : an interpretation make  $\{X\}$  true.

- The world in which the truth assignments of a model hold is a (verifiable) model of  $\{X\}$ .
- $\{X\}$  is **inconsistent** if it has no model.
- Statement A is a logical consequence of  $\{X\}$  if A is true in every model of  $\{X\}$ .
- Argument Validity
  - An argument is considered *valid* if it satisfies any of the following conditions (Logically equivalent):
    - \* The conclusions are a logical consequence of the premises.
    - \* The conclusions hold true in every model of the premises.
    - \* There is no scenario where all the premises are true and the conclusions are false.
    - \* The implication from arguments to conclusions is a tautology, meaning it is always true.
- $\bullet$  Proofs
  - A Knowledge Base (KB) is a set of axioms.
  - A proof procedure is a way of proving theorems.
  - KB  $\vdash$  g indicates that g can be derived from KB using the proof procedure.
  - If  $KB \vdash g$ , then g is considered a *Theorem*.
  - A proof procedure is *sound* if  $KB \vdash g$  implies  $KB \models g$ .
  - A proof procedure is *complete* if  $KB \models g$  implies  $KB \vdash g$ .
  - There are two types of proof procedures: bottom up and top down.
- Complete Knowledge:

#### - Closed World Assumption:

- \* The agent is presumed to know everything or can prove everything.
- \* Cannot prove something implies it <u>must be false</u> (negation as failure).
- Open World Assumption: (Way harder than close world)
  - \* The agent does not know everything.
  - \* Cannot conclude anything from a lack of knowledge
- Bottom-up Proof (forward chaining):

Start from facts, use rules to generate all possible atoms

$C := \{\};$	Rules	Deduced Atoms Sequence
repeat select $r \in KB$ such that $\cdot r$ is $h \leftarrow b_1 \land \ldots \land b_m$ $\cdot b_i \in C  \forall i$ $\cdot h \notin C$ $C := \overline{C \cup \{h\}}$ until no more clauses can be selected	$\begin{array}{l} \mbox{rain} \leftarrow \mbox{clouds} \land \mbox{wind}.\\ \mbox{clouds} \leftarrow \mbox{humid} \land \mbox{cyclone}.\\ \mbox{clouds} \leftarrow \mbox{near\_sea} \land \mbox{cyclone}.\\ \mbox{mear\_sea}.\\ \mbox{cyclone}. \end{array}$	{near_sea, cyclone} {near_sea, cyclone, wind} {near_sea, cyclone, wind, clouds} {near_sea, cyclone, wind, clouds, rain}

• Top-Down Proof:

so

	Rules	Query Sequence
$Ve(q_1 \wedge \ldots \wedge q_k)$ :	rain $\leftarrow$ clouds $\land$ wind.	$yes \leftarrow rain.$
$ac:="yes \leftarrow q_1 \wedge \ldots \wedge q_k''$ repeat	$clouds \leftarrow humid \land cyclone.$	yes $\leftarrow$ clouds $\land$ wind
select a conjunct $q_i$ from body of ac	$clouds \leftarrow near\_sea \land cyclone.$	$yes \leftarrow near\_sea \land cyclone \land wind$
choose a clause C from KB with $q_i$ as head replace $q_i$ in body of <i>ac</i> by body of C	wind $\leftarrow$ cyclone.	yes $\leftarrow$ near_sea $\land$ cyclone
til <i>ac</i> is an answer	near_sea.	$yes \leftarrow cyclone$
	cyclone.	yes $\leftarrow$